

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Tsukasa Kobayashi

**Examiner:** Unassigned

Serial No.:

10/760,058

Art Unit:

3731

Filed:

January 16, 2004

Docket:

17365

For:

CLIP DEVICE FOR HOLDING

Dated:

May 25, 2004

A LIVING TISSUE

Conf. No.:

8946

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# STATEMENT PURSUANT TO 37 C.F.R. § 1.704(d)

Sir:

I hereby state that each item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

# **CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, Alexandria, VA 22313-1450 on May 25, 2004.

Dated: May 25, 2004

Therefore, this Information Disclosure Statement cannot be considered a failure to engage in reasonable efforts to conclude prosecution of the application under paragraphs (c)(6), (c) (8), (c) (9), or (c) (10) of 37 C.F.R. § 1.704.

Respectfully submitted,

Thomas Spinelli

Registration No. 39,533

Scully, Scott, Murphy & Presser 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343

TS/jap

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TRANSMITTAL OI	Docket No. 17365								
In Re Application Of: Tsukasa Kobayashi MAY 2 7 2004									
Serial No. Filing Date Examiner Group Art Uni									
10/760,058	January 16, 2004	Unassigned	3731						
Title: CLIP DEVICE FOR HOLDING A LIVING TISSUE									
	Address to:  Commissioner for Patents  P.O. Box 1450  Alexandria, VA 22313-1450								
37 CFR 1.97(b) 1. Image: The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.									
	37 C	FR 1.97(c)							
2.   The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:									
☐ the statem	nent specified in 37 CFR 1.97(e);								
	OR								
☐ the fee se	t forth in 37 CFR 1.17(p).								

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(U	Inder 37 CFR 1.97(b) or 1.97	TPE	17365
In Re Application: 1	「Sukasa Kobayashi MA	Y 2 7 2004 2	
Serial No.	Filing Date	Examiner	Group Art Unit
10/760,058	January 16, 2004	Unassigned	3731
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cc:			

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### INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

- 1. United States Patent Application Publication No. 2002/0045909 A1, issued to Kimura et al., dated April 18, 2002;
- 2. United States Patent Application Publication No. 2002/0151916 A1, issued to Muramatsu et al., dated October 17, 2002;

# CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

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Dated: May 25, 2004

Thomas Spinelli

3. United States Patent Application Publication No. 2002/0173805 A1, issued to Matsuno et al., dated November 21, 2002; and

Maisuno et al., dated November 21, 2002, and

4. European Patent Application Publication No. EP 0 738 501 A1, dated October

23, 1996.

The references were cited in a Search Report dated April 14, 2004 received from

the European Patent Office. Applicant is submitting a copy of reference 4, together with a copy

of the Search Report. In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i) in effect as of

June 30, 2003, applicant is not required to submit copies of the above-cited U.S. Patent

references. The relevance of the above-identified references has been described in the Search

Report.

Further, the undersigned hereby states that each item of information contained in

this Information Disclosure Statement was first cited in any communication from a foreign patent

office in a counterpart foreign application not more than three months prior to the filing of this

Information Disclosure Statement. Also enclosed is a statement pursuant to 37 C.F.R.

§ 1.704(d).

Respectfully submitted.

Thomas Spinelli

Registration No. 39,533

Scully, Scott, Murphy & Presser 400 Garden City Plaza Garden City, New York 11530

(516) 742-4343

TS:jap

O INFORMATION DISCLOSURE CITATION Use several sheets if necessary)				Docket Number (Optional) 17365		Application Number 10/760,058			
				Applicant(s) Tsukasa Kobayashi					
				Filing Date January 16, 2004		Group Art Unit			
PROGMANN	7		U.S. P.	ATEN1	DOCUMENTS				
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		2002/0045909 A1	4/18/2002	Kin	ıura et al.				
		2002/0151916 A1	10/17/2002	Mu	ramatsu et al.				
		2002/0173805 A1	11/21/2002	Ma	tsuno et al.				
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			FOREIGN	PATE	ENT DOCUMENTS		<del>.</del>		
	REF	DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUBCLASS	YES	NO
		EP 0 738 501 A1	10/23/1996	EPO	)				
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		OTHER DOCU	MENTS (Include	ding A	uthor, Title, Date, P	Pertinent Pages	, Etc.)		
EXAMINER				DATE CONSIDERED					
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Form PTO-A820 U.S. DEPARTMENT OF COMMERCE (also form PTO-1449) P09A/REV04

Patent and Trademark Office\*

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